

PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)

Docket No.
23523-0160

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

LANZA et al.

For: **METHOD FOR GENERATING IMMUNE-COMPATIBLE CELLS AND
TISSUES USING NUCLEAR TRANSFER TECHNIQUES**

Enclosed are:

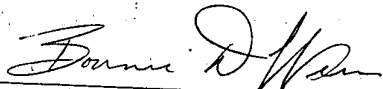
- ☐ Certificate of Mailing with Express Mail Mailing Label No. _____ sheets of drawings.
- ☐ A certified copy of a _____ application.
- ☒ Declaration ☐ Signed. ☒ Unsigned.
- ☐ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☐ Other: _____ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	55	- 20 =	35	x \$9.00	\$315.00
Indep. Claims	6	- 3 =	3	x \$39.00	\$117.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$345.00
TOTAL FILING FEE					\$777.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1390 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: September 6, 2000


Signature

Bonnie Weiss - Registered Patent Agent
Reg. No. 43,255
SHAW PITTMAN
2300 N Street, N.W.
Washington, D.C. 20037

cc:

**VERIFIED STATEMENT BY A NON-INVENTOR SUPPORTING
A CLAIM BY ANOTHER FOR SMALL ENTITY STATUS**

Docket No.
23523-0162

Serial No.
New Application

Filing Date
September 6, 2000

Patent No.
-

Issue Date
-

Applicant/ Robert Lanza
Patentee: Michael West

Invention: **METHOD FOR GENERATING IMMUNE-COMPATIBLE CELLS AND TISSUES
USING NUCLEAR TRANSFER TECHNIQUES**

I hereby declare that I am making this verified statement to support a claim by
Robert Lanza and Michael West

for small entity status for purposes of paying reduced fees to the United States Patent and Trademark Office, regarding
the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I hereby declare that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying
fees to the United States Patent and Trademark Office, if I had made the above identified invention.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant,
convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37
CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business
concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Note: Separate verified statements are
required from each person, concern or organization having rights to the invention averring to their status as small
entities. (37 CFR 1.27)

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an
obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization.

FULL NAME
ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

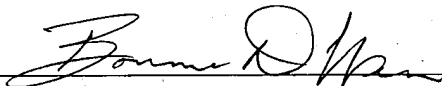
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Bonnie Weiss

TITLE IN ORGANIZATION: _____

ADDRESS OF PERSON SIGNING: **SHAWPITTMAN**
2300 N STREET, N.W.
WASHINGTON, D.C. 20037-1128

SIGNATURE: _____



DATE: September 6, 2000